

# Integrated Impact Assessment (IIA) - Summary Report

Audit Risk level

(Risk level will be added by Equalities Officer)

Each of the numbered sections below must be completed

Interim report		Final report	Y	(Tick as appropriate)
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**1. Title of plan, policy or strategy being assessed**

Locality Improvement Plans – citywide engagement process

**2. What will change as a result of this proposal?**

This report summarises the positive and negative impacts on equalities and rights from the engagement process that informed the outcomes and actions contained in the 4 Locality Improvement Plans published on 1 October 2017.

Each Locality Improvement Plan will also be subject to an IIA on the impact of the high level actions that are contained within them, and the recommendations from this report will inform these IIAs.

**3. Briefly describe public involvement in this proposal to date and planned**

An extensive community engagement programme was carried out from October 2016 to January 2017 in each locality, to inform the Locality Improvement Plans. This programme, referred to as Phase 1 engagement, was aimed at all population groups in each locality to identify from citizens living, working and studying what they felt the key priorities for their communities were that need to be addressed.

This was complemented with targeted engagement in small areas, referred to as Phase 2 engagement, from April – June 2017. In each of the 4 localities, these small areas are recognised as where the population groups in each are experiencing the greatest levels of inequality and poorest outcomes, as identified from the Scottish Index of Multiple Deprivation. This engagement involved working with service providers and service users to draw on their experience to better understand the issues and identify solutions to address these.

4. **Date of IIA** Wednesday 10 May 2017

5. **Who was present at the IIA? Identify facilitator, Lead Officer, report writer and any partnership representative present and main stakeholder (e.g. NHS, Council)**

Name	Job Title	Date of IIA training	Email
Liz Simpson (facilitator)	Senior Health Promotion Specialist, NHS Lothian		<a href="mailto:liz.simpson@nhslothian.scot.nhs.uk">liz.simpson@nhslothian.scot.nhs.uk</a>
Saty Kaur (report writer)	Lead Officer, Strategy and Insight		<a href="mailto:saty.kaur@edinburgh.gov.uk">saty.kaur@edinburgh.gov.uk</a>
Mary Dunbar	Lifelong Learning Service Manager		<a href="mailto:mary.dunbar@edinburgh.gov.uk">mary.dunbar@edinburgh.gov.uk</a>
Christine Doherty	Lifelong Learning Service Manager		<a href="mailto:christine.doherty@edinburgh.gov.uk">christine.doherty@edinburgh.gov.uk</a>
Ruth Baxendale	Senior Strategy and Planning Officer, Strategy and Insight		<a href="mailto:ruth.baxendale@edinburgh.gov.uk">ruth.baxendale@edinburgh.gov.uk</a>
David Dourley	Station Manager, Scottish Fire and Rescue Service		<a href="mailto:David.Dourley@firescotland.gov.uk">David.Dourley@firescotland.gov.uk</a>
Martin Higgins	Senior Health Policy Officer, NHS Lothian		<a href="mailto:Martin.Higgins@nhslothian.scot.nhs.uk">Martin.Higgins@nhslothian.scot.nhs.uk</a>

6. **Evidence available at the time of the IIA**

Evidence	Available?	Comments: what does the evidence tell you?
Data on populations in need	Yes	All data tells us which areas of the city are experiencing the greatest inequality and poorest outcomes, using a range of indicators that inform SIMD and other poverty and inequality statistics. This includes, but not limited to: <ul style="list-style-type: none"> <li>• Employment</li> <li>• Income</li> <li>• Crime</li> <li>• Housing</li> <li>• Health</li> <li>• Education</li> </ul>

Evidence	Available?	Comments: what does the evidence tell you?
		<ul style="list-style-type: none"> <li>• Access (distances to access services e.g. GP)</li> </ul> <p>The Council's Performance and Business Analytics Service also identified poverty and low income data sets which show locally recognised areas of poverty and low income, in which more than 40% of residents are estimated to live in households where incomes are below the UK poverty threshold.</p> <p>Locality profiles were also developed that show a range of information about the locality including:</p> <ul style="list-style-type: none"> <li>• Population</li> <li>• Population growth</li> <li>• Health</li> <li>• Household income</li> <li>• Child poverty</li> </ul> <p>The Health and Social Care Partnership produced a Joint Strategic Needs Assessment that provided a comprehensive picture of health and wellbeing needs in each locality. The intelligence and analysis determines:</p> <ul style="list-style-type: none"> <li>• Current and future needs</li> <li>• What's working, what is not and what could work better</li> <li>• Major health inequalities and what can be done about them</li> <li>• Unmet needs, including those of seldom-heard populations and vulnerable groups</li> </ul> <p>The data outlined above was used to inform the types of questions that were asked as both part of Phase 1 and Phase 2 engagement.</p> <p>The data was also used to identify the 'small areas' in the plans where people are experiencing the highest levels of inequality and poor outcomes.</p>

Evidence	Available?	Comments: what does the evidence tell you?
Data on service uptake/access	Yes	As above
Data on equality outcomes	Yes	As above
Research/literature evidence	Yes	As above
Public/patient/client experience information	Yes	As above
Evidence of inclusive engagement of service users and involvement findings	Yes	As above
Evidence of unmet need	Yes	As above
Good practice guidelines	Yes	<p>National Standards for Community Engagement - good-practice principles designed to support and inform the process of community engagement, and improve what happens as a result</p> <p>Neighbourhood Partnership Local Community Plans (2011-17) – local community plans identifying outcomes to improve Neighbourhood Partnerships informed by an extensive programme of community engagement and community intelligence</p> <p>Community Empowerment (Scotland) Act 2015 - empowers community bodies through the ownership of land and buildings, and by strengthening their voices in the decisions that matter to them. It will also improve outcomes for communities by improving the process of community planning, ensuring that local service providers work together even more closely with communities to meet the needs of the people who use them.</p>
Environmental data	Yes	Transition Edinburgh locality workshops and locality place making exercises where local people gave their views on how the area should look in terms of environmental impacts and sustainability.

Evidence	Available?	Comments: what does the evidence tell you?
Risk from cumulative impacts	No	
Other (please specify)	Yes	Neighbourhood Partnership Local Community Plans (2011-17)  City Vision 2050 – specific information and feedback from those who live, work and study in Edinburgh about their aspirations for the city over the next 33 years  Council Budget Engagement – feedback on how the Council should prioritise services delivered
Additional evidence required	N/A	

**7. In summary, what impacts were identified and which groups will they affect?**

Equality, Health and Wellbeing and Human Rights	Affected populations
<p><b>Positive</b></p> <p>The two phased approach to engagement allowed for a wider range of population groups to be engaged in Phase 1, followed by targeted groups in Phase 2. This has promoted a wider cross section of the community to participate in the engagement, in particular through Phase 2 where those more seldom heard voices were engaged.</p> <p>By using a wide range of data as outlined above, from all partners, this has also ensured that a clear picture of poverty and inequality, and those population groups experiencing greatest need have been identified in order to target engagement effectively, thus promoting equality and rights in the process.</p> <p>The approach to developing the Locality Improvement Plans brings together partners to work together to achieve better outcomes for communities. This fosters good relations at both</p>	<p>All</p>

<p>a local and citywide level, eliminates discrimination and promotes inclusion.</p> <p>Both engagement phases asked participants of their experiences of using services, to identify areas that work well and areas that could be improved. This contributes to advancing equality of opportunity, enabling people to have more control of their environments, and reducing differences in status between different groups of people.</p> <p><b>Negative</b></p> <p>The engagement approach for Phase 1 was coordinated at a local level across the 4 localities. During the IIA workshop, when working through the differential impacts on all of the population groups in the checklist, some gaps were identified in being able to engage with particular population groups. It was also acknowledged that some of these groups were engaged more in some localities rather than in others.</p>	<ul style="list-style-type: none"> <li>• Disabled people</li> <li>• Refugees and asylum seekers</li> <li>• Minority ethnic people</li> <li>• Lesbian, gay, bisexual and heterosexual people</li> <li>• Homeless people</li> <li>• Carers</li> <li>• Those involved in the criminal justice system</li> </ul>
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<p><b>Environment and Sustainability</b></p> <p>In terms of the engagement approach that was taken in both Phase 1 and Phase 2, there were neither positive or negative impacts on environment and sustainability identified.</p> <p>As part of the engagement activity, there were locality workshops delivered by Transition Edinburgh entitled 'Planning for People &amp; Planet' – the findings from these will inform the Locality Improvement Plan outcomes, which will then be further assessed for positive and negative environmental impacts.</p>	<p><b>Affected populations</b></p> <p>N/A at this stage</p>
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<p><b>Economic</b></p> <p>In terms of the engagement approach that was taken in both Phase 1 and Phase 2, there were neither positive or negative impacts on economy identified.</p> <p>As part of the engagement activity, there are a range of</p>	<p><b>Affected populations</b></p> <p>N/A at this stage</p>
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priorities identified under the theme of 'Economy/Employability'. As these priorities are further explored and the outcomes and actions under these are identified for the Locality Improvement Plans, these will be further assessed for positive and negative economic impacts.	
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**8. Is any part of this policy/ service to be carried out wholly or partly by contractors and how will equality, human rights including children's rights , environmental and sustainability issues be addressed?**

Phase 2 engagement was carried out by independent contractors in each of the small areas identified. As part of the Council's procurement process, due regard is required to be given to all equalities and rights, environmental and sustainability impacts when undertaking work on behalf of the Council.

**9. Consider how you will communicate information about this policy/ service change to children and young people and those affected by hearing loss, speech impairment, low level literacy or numeracy, learning difficulties or English as a second language? Please provide a summary of the communications plan.**

A communications and promotional launch plan is being designed working with the Council's Communications Service and liaising with partner Communications Teams/Officers.

The plan is subject to review and agreement with the Locality Improvement Plan Project Teams, which includes membership from voluntary sector organisations.

The citywide Project Team also has representation from the Council's Equalities Lead Officer and the Third Sector Interface, which is responsible for the Edinburgh Equalities and Rights Network.

This partnership approach will ensure that the Locality Improvement Plans, when launched, will be in formats that are easy to understand by a range of population groups. A diverse range of communication tools have been identified to use to promote the Locality Improvement Plans, including both online and offline platforms, plain English and visual aids.

**10. Is the policy a qualifying Policy, Programme or Strategy as defined by The Environmental Impact Assessment (Scotland) Act 2005? (see Section 4)**

No

**11. Additional Information and Evidence Required**

If further evidence is required, please note how it will be gathered. If appropriate, mark this report as interim and submit updated final report once further evidence has been gathered.

N/A

## 12. Recommendations (these should be drawn from 6 – 11 above)

It is acknowledged that by not having a coordinated approach across all 4 localities to the engagement in Phase 1, there were some population groups that have been negatively impacted as a result.

Recommendations are to:

1. Review of the engagement process to identify all the population groups that were not engaged with, or those which the uptake of engagement opportunities was low;
2. Identify the routes into better engagement; either through citywide organisations or local organisations in the third sector, or within other service teams across Council and partner organisations;
3. Gather and cascade contact details of organisations that work with these population groups to all locality teams to inform a directory;
4. Work with the Equalities and Rights Network at both a local and citywide level to build stronger relationships and routes into a range of population groups; and
5. Ensure that the learning from this IIA is used to inform the 4 locality IIAs that will be completed by end September 2017

## 13. Specific to this IIA only, what actions have been, or will be, undertaken and by when? Please complete:

Specific actions (as a result of the IIA which may include financial implications, mitigating actions and risks of cumulative impacts)	Who will take them forward (name and contact details)	Deadline for progressing	Review date
1. Review of the engagement process	Council Equalities Lead Officer / Localities Lead Officer	October 2017 onwards	Annually
2. Identify the routes into better engagement	Council Equalities Lead Officer / Localities Lead	October 2017 onwards	Continuous/ongoing review



Specific actions (as a result of the IIA which may include financial implications, mitigating actions and risks of cumulative impacts)	Who will take them forward (name and contact details)	Deadline for progressing	Review date
	Officer		
3. Gather and cascade contact details	Council Equalities Lead Officer / Localities Lead Officer	October 2017 onwards	Continuous/ongoing review
4. Work with the Equalities and Rights Network	Council Equalities Lead Officer	October 2017 onwards	Continuous/ongoing review
5. Ensure that the learning from this IIA is used to inform the 4 locality IIAs	Locality Leadership Teams	Immediately	Annually

**14. How will you monitor how this policy, plan or strategy affects different groups, including people with protected characteristics?**

The Locality Improvement Plans will be subject to annual performance reporting; which will also involve a review of the priorities within the plan, and during this, the individual IIAs for each plan will also be reviewed.

The IIA for the engagement plan will be reviewed annually to ensure that the recommendations and actions are being met; and will be used to inform the continuous engagement around the ongoing development and delivery of the plans.

**15. Sign off by Head of Service**

Name *AP SOMERS*

Date 11.9.17.

**16. Publication**

Send completed IIA for publication on the relevant website for your organisation. See Section 5 for contacts.

